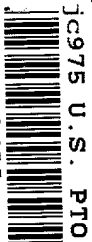


12/19/00



jc975 U.S. PTO

12-21 526 Rec'd PCT/PTO 09/720230 19 DEC 2000

**TRANSMITTAL LETTER TO THE  
UNITED STATES  
DESIGNATED/ELECTED OFFICE  
(DOIEO/US) CONCERNING A  
FILING UNDER 35 U.S.C. 371**

Attorney Docket Number  
First Named Inventor  
COMPLETE IF KNOWN  
U.S. Application Number  
Filing Date

ItoM 001128  
van Rumpt

INTERNATIONAL APPLICATION No.

**PCT/EP00/03203**

INTERNATIONAL FILING DATE

**11 April 2000**

PRIORITY DATE CLAIMED

**19 April 1999**TITLE: **COMMUNICATION DEVICE**APPLICANT(S) FOR DO/EO/US: **van Rumpt**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(t)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(2)),
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

U.S. APPLICATION No. (if known)

-INTERNATIONAL APPLICATION  
No.PCT/EP00/03203

ATTORNEY'S DOCKET NUMBER  
ItoM 001128

21. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)

nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO

and International Search Report not prepared by the EPO or JPO ..... \$1000

International preliminary examination fee (37 CFR 1.482) not paid to

USPTO but International Search Report prepared by the EPO or JPO ... \$860

International preliminary examination fee (37 CFR 1.482) not paid to

USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710

International preliminary examination fee (37 CFR 1.482) paid to USPTO

but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690

International preliminary examination fee (37 CFR 1.482) paid to USPTO

and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100

**CALCULATIONS (PTO USE)**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**\$ 860**

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS NUMBER FILED NUMBER EXTRA RATE

Total claims 23 - 20 = 3 18 \$54

Independent claims 1 - 3 = 80 \$

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$270

**TOTAL OF ABOVE CALCULATIONS =** 914

☒ Applicant claims small entity status. The fees indicated above are reduced by 1/2.

**SUBTOTAL =**

**\$ 457**

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(t)).

**TOTAL NATIONAL FEE =**

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$40

**TOTAL FEES ENCLOSED =**

**\$ 497**

Amount to be  
refunded:  
charged:

a. ☒ A check in the amount of \$ **497** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the  
above fees. A duplicate copy of this sheet is enclosed.

c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37  
CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

Robert M. McDermott

Registration Number 41,508

I hereby certify that this paper and the items identified above are being deposited with the U.S. Postal Service "Express Mail Post Office to  
Addresses" under 37 C.F.R. Section 1.10, and is addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

On **19 December 2000** By \_\_\_\_\_

005121 0002450

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of **van Rumpt**  
Serial No.:  
Filed:  
Title: **COMMUNICATION DEVICE**

Atty. Docket No.: **ItoM 001128**  
Group Art Unit:  
Examiner:

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**Preliminary Amendment**

Sir:

Prior to the examination of this application, and the determination of fees, please amend the application as follows:

In the CLAIMS:

- Claim 2, line 1: Please change "claims 1" to --claim1--.
- Claim 3, line 1: Please change "claim 1 or 2" to --claim 1--.
- Claim 4, line 1: Please change "one of claims 1 to 3" to --claim 1--.
- Claim 5, line 1: Please change "one of claims 1 to 4" to --claim 1--.
- Claim 6, line 1: Please change "one of claims 1 to 5" to --claim 1--.
- Claim 7, line 1: Please change "one of claims 1 to 6" to --claim 1--.
- Claim 9, line 1: Please change "one of claims 1 to 8" to --claim 1--.
- Claim 12, line 1: Please change "one of claims 9 to 11" to --claim 9--.
- Claim 13, line 1: Please change "one of claims 1 to 8" to --claim 1--.
- Claim 15, line 1: Please change "claim 13 or 14" to --claim 13--.
- Claim 16, line 1: Please change "one of claims 1 to 15" to --claim 1--.
- Claim 17, line 1: Please change "one of claims 1 to 16" to --claim 1--.
- Claim 18, line 1: Please change "one of claims 1 to 17" to --claim 1--.
- Claim 19, line 1: Please change "one of claims 1 to 18" to --claim 1--;
- line 3: Please change "the antenna means" to --an antenna device--.

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Claim 20, line 1: Please change "one of claims 1 to 19" to --claim 1--;

line 3: please change "the antenna impedance" to --an antenna device--.

22. (Amended) A communications device according to claim 1 [one of claims 1 to 21, characterized by said antenna means] further including an antenna device having narrow bandwidth.

Claim 23, line 1: please delete "one of";

line 2: Please change "claims 1 to 19" to --claim 1--.

#### REMARKS

The claims are amended herein to eliminate multiple dependencies, and to correct a lack of proper antecedent basis in claims 19, 20, and 22.

Respectfully submitted,



Robert M. McDermott, Esq.

Reg. No. 41,508

203-544-8889

7/PRTS

Communication device

The invention relates to a communication device including a power amplifier for amplifying  
5 a modulated high frequency carrier input signal comprising a resonance circuit and an excitation  
circuit for a signal excitation in the resonance circuit phase and/or frequency coupled with the  
modulated high frequency carrier signal.

A communication device including a power amplifier of the above type is known from the  
article "A 1.9 GHz 1W CMOS Class E Power Amplifier for Wireless Communications" by King-  
10 Chun Tsai and Paul R. Gray, Department of Electrical Engineering and Computer Sciences,  
University of California, Berkeley, California, U.S.A., published in Proceedings of the 24<sup>th</sup>  
European Solid-State Conference, The Hague, The Netherlands, 22-24 September 1998.

The resonance circuit of the known power amplifier comprises a parallel LC resonance  
circuit AC serially connected between a supply voltage terminal and mass, through a controllable  
15 switching. The switching element is to switch the full supply voltage across the resonance circuit  
alternately during half period cycles of the carrier frequency, synchronised with the phase and/or  
frequency modulation of said high frequency carrier input signal. This results in a likewise  
synchronised signal excitation in the resonance circuit. The common node between the capacitor  
and the inductor provides an output of the resonance circuit, and therewith an output of the power  
20 amplifier, supplying the information embedded in the modulation with a power amplification to a  
bandpass filter. The bandpass filter is to select the fundamental component of the voltage  
occurring across the resonance circuit and to suppress harmonic distortion occurring in the output  
signal of the resonance circuit.

The resonance circuit is designed such with regard to the switching operation, that in  
25 steady state, ideally the resonance circuit signal, i.e. the voltage across the capacitor, crosses zero  
level, immediately before the switch is closed. According to the teachings of said article, the  
switch would dissipate no power then, because it is closed when the voltage across the switch is  
zero, hereinafter also referred to as soft switching concept. The signal losses in the switch,  
hereinafter also referred to as switching or excitation losses, would therewith be eliminated and all  
30 of the DC supply power would therewith be delivered to the output of the LC load network. The  
measures mentioned to arrive at this ideal situation of zero excitation losses are aimed at an

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**The circuitry needed for such precise switching operation are rather complex.**

5 Power amplifiers are one of the key circuits basically determining the overall performance of such devices. For important applications within the field of telecommunication, the requirements put to power amplifiers in terms of power added efficiency and cost effectiveness already increased beyond the limits attainable with power amplifiers based on the above known principle.

A second object of the invention is to provide a versatile power amplifier applicable in communication devices complying with a broad range of telecommunication standards.

According to the invention a communication device including a power amplifier for amplifying a modulated high frequency carrier input signal comprising a resonance circuit and an excitation circuit for a signal excitation in the resonance circuit phase and/or frequency coupled with the modulated high frequency carrier signal, is therefore characterized by said excitation occurring within excitation periods ( $T_{ex}$ ) in a periodic alternation with resonation periods ( $T_{fre}$ ), during which the resonance circuit is in a free running resonance mode, the excitation periods being smaller than the resonation periods to define an excitation duty cycle ( $T_{ex}/T_{car}$ ) relative to the period of the carrier signal ( $T_{car}$ ), hereinafter also indicated as excitation duty cycle, of less than 0.5.

Unlike the teachings and aims of the above cited reference, the invention is targeted at a reduction of the overall signal power loss in the total transmitter end stage signal processing including the amplification, selection and transmission of the RF signal. The invention is based on the recognition that extending the free running period of the resonance circuit ( $T_{fre}$ ) of the power amplifier beyond a half cycle period results in a reduction of the total harmonic signal distortion,

5           The invention therewith cuts across the notion that decreasing the duty cycle of a resonance circuit input signal below 0.5 of the signal period will lead to signal power loss due to spectral power spread inherent to any decrease in signal duty cycle.

According to a further recognition of the invention, said measure does not prevent to apply the abovementioned soft switching concept to eliminate excitation losses completely. Starting from a certain excitation duty cycle, a complete elimination of switching losses can be achieved by a certain value for the resonance frequency ( $f_{res}$ ) of the resonance circuit. On the other hand, by choosing the resonance frequency ( $f_{res}$ ) of the resonance circuit at the  $f_{res}$  at the carrier frequency ( $f_{car}$ ) of the modulated high frequency carrier signal THD losses are eliminated. In practise said certain value for the resonance frequency ( $f_{res}$ ) deviates from the carrier frequency ( $f_{car}$ ). Expressing said resonance frequency ( $f_{res}$ ) in a so called resonance frequency detuning rate ( $df_{res}$ ), defining the frequency deviation by which the resonance frequency ( $f_{res}$ ) is higher than the carrier frequency ( $f_{car}$ ) of the modulated high frequency carrier signal relative to said carrier frequency ( $df_{res} = f_{res}/f_{car} - 1$ ), an advantageous trade off between excitation losses and THD losses according to the invention to come to a minimisation of the overall power loss of the power amplifier as a whole, is met in a preferred embodiment of a communication device according to the invention, which is characterized by said resonance frequency detuning rate ( $df_{res}$ ) corresponding substantially at most to half the excitation duty cycle.

This measure allows minor excitation losses to occur, which on the one hand are sufficiently small not to deteriorate the overall reduction in signal power loss of the power amplifier due to the reduction in THD losses, and which on the other hand are sufficiently large to allow for a cost effective implementation, using much less complex circuitry than needed in the cited known power amplifier.

In particular for an excitation duty cycle between an order of magnitude of 0.1 and 0.5, the latter embodiment of a communication device according to the invention is characterized by said resonance frequency detuning rate ( $df_{res}$ ) being in the order of magnitude of the half square value of said excitation duty cycle.

5            Apart from the excitation duty cycle and the resonance frequency detuning rate, also the  
quality factor (Q) of the resonance circuit is a parameter in the trade off between excitation and  
THD losses. In a preferred embodiment of a communication device according to the invention  
using the quality factor as an additional parameter, the excitation duty cycle is being defined to  
decrease with increasing quality factor (Q) of the resonance circuit and vice versa, in particular for  
10    an excitation duty cycle between an order of magnitude of 0.1 and 0.5.

The above measures are approximations of the following, more precise definitions for the abovementioned excitation duty cycle ( $T_{ex}/T_{car}$ ) and resonance frequency detuning rate ( $df_{res}$ ), leading to an optimal trade off between excitation losses and THD losses, being substantially equal to:

$$\begin{aligned}
 & \text{15} \quad \frac{2 \operatorname{ArcSin}\left[\frac{1 - \sqrt{1 - \left(1 - \frac{1}{Q^2}\right)^2}}{Q}\right]}{3 + \frac{\pi}{4}} \\
 & \text{20} \quad \text{dfres}[Q] = 0.5 \left[ \frac{\sqrt{1 - \left(1 - \frac{1}{Q^2}\right)^2}}{2 \pi \left(1 - \frac{1}{Q^2}\right)} + \frac{1}{4} - 1 \right]
 \end{aligned}$$

25 and



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**Said discontinuity may be caused by a DC level shift in the excitation signal.**

20 In a preferred embodiment, the excitation circuit of the communication device is provided with a controllable switching device serially arranged with the resonance circuit between first and second terminals of a voltage supply source and having a control terminal coupled to the input of the power amplifier for periodically supplying an excitation voltage signal to the resonance circuit, phase and/or frequency coupled with the modulated carrier signal circuit. In the excitation of the  
25 resonance circuit according to the invention, there is no necessity to minimize the switching signal transient time, i.e. to use an excitation signal with smooth signal transients. This allows to simplify the implementation of the communication device, in which said controllable switching device comprises a switch resistance serially arranged with the resonance circuit between the first and second terminals of said voltage supply source and being varied from a maximum resistance value  
30 to a minimum resistance value and vice versa to smoothen transients of said excitation voltage signal increasing above a threshold voltage within the excitation periods. In such communication device the controllable switching device may well comprise a MOS transistor having its drain source path serially coupled to the resonance circuit being controlled to vary the switch resistance stepwise.

$$\begin{aligned}
 & \text{Sqrt}[1 - (1 - \frac{1}{Q^2})] \\
 5 \quad (T_{\text{ex}}/T_{\text{car}})[Q] = & \frac{\text{Sqrt}[1 - (1 - \frac{1}{Q^2})]}{2 \text{ ArcSin}[(1 - \frac{1}{Q^2})]^{1/2} + \frac{\text{Sqrt}[1 - (1 - \frac{1}{Q^2})]}{Q}} \\
 10 \quad & \frac{2 \text{ Pi} (1 - \frac{1}{Q^2})}{Q} \left( \frac{\text{Sqrt}[1 - (1 - \frac{1}{Q^2})]}{Q} + \frac{\text{Pi}}{4} \right) \\
 & \frac{2 \text{ Pi} (1 - \frac{1}{Q^2})}{Q}
 \end{aligned}$$

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The trade off between excitation and THD losses according to the invention causes a discontinuity to occur in the slope of the output signal of the resonance circuit during the resonation periods at the start of the excitation periods, reflecting the switching loss.

Said discontinuity may be caused by a DC level shift in the excitation signal.

20

In a preferred embodiment, the excitation circuit of the communication device is provided with a controllable switching device serially arranged with the resonance circuit between first and second terminals of a voltage supply source and having a control terminal coupled to the input of the power amplifier for periodically supplying an excitation voltage signal to the resonance circuit, phase and/or frequency coupled with the modulated carrier signal circuit. In the excitation of the resonance circuit according to the invention, there is no necessity to minimize the switching signal transient time, i.e. to use an excitation signal with smooth signal transients. This allows to simplify the implementation of the communication device, in which said controllable switching device comprises a switch resistance serially arranged with the resonance circuit between the first and second terminals of said voltage supply source and being varied from a maximum resistance value to a minimum resistance value and vice versa to smoothen transients of said excitation voltage signal increasing above a threshold voltage within the excitation periods. In such communication device the controllable switching device may well comprise a MOS transistor having its drain source path serially coupled to the resonance circuit being controlled to vary the switch resistance stepwise.

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In the communication device according to the invention the excitation of the resonance circuit not only tracks modulation dependent phase and/or frequency deviations of the modulated high frequency carrier input signal, but also allows to amplify modulation dependent envelope amplitude variations of said input signal, if any. The extra degree of freedom provided herewith makes it possible to comply with various different telecommunication standards, including those using constant envelope modulated high frequency carrier signals (such as e.g. GSM) and those using modulated envelope high frequency carrier signals (such as e.g. CDMA). When using an excitation circuit with a controllable switching device as indicated above, such communication device preferably comprises amplitude modulation means for modulating the amplitude of the supply voltage between the first and second terminals of the voltage supply source with modulation signal dependent envelope amplitude variations of the modulated high frequency carrier signal.

In allowing to use smooth signal transients, the invention enables to use bipolar transistor circuitry for the excitation of the resonance circuit. Another preferred embodiment of a communication device according to the invention is therefore characterized in that the excitation circuit comprises a charge pump supplying an excitation current signal, phase and/or frequency coupled with the modulated carrier signal circuit having smooth transients between a minimum and a maximum current level and increasing above a threshold current level within the excitation periods. In this embodiment, this threshold current level is chosen such that the part of the excitation current signal in excess of the threshold current level is determining and/or dominating the signal in the resonance circuit.

Such a communication device is preferably characterized in that an output stage of the charge pump comprises a bipolar transistor, the collector emitter path thereof being serially coupled to the resonance circuit between first and second terminals of a supply voltage source.

When using an excitation circuit with a charge pump as indicated above, a preferred embodiment of a communication device complying with telecommunication standards using modulated envelope high frequency carrier signals comprises amplitude modulation means for modulating the excitation signal as well as a supply voltage coupled to the resonance circuit with modulation signal dependent envelope amplitude variations of the modulated high frequency carrier signal.

**In telecommunication standards using constant envelope modulated high frequency carrier signals the envelope amplitude of the excitation signal is kept constant.**

10            This measure allows to combine main part of the resonance circuit functionality with the functionality of the antenna means, therewith providing an extensive integration of the resonance circuit with the antenna means and reducing the number of elements needed.

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transistor output stage, the quality factor of the resonance circuit Q being 1.5 and the resonance frequency detuning rate being 27%;

Figures 5a,b signal plots showing the effect of an excitation signal having a 30% duty cycle on THD losses (curve C) and switching or excitation losses when used in the power amplifier of Figure 1, in which the excitation circuit is provided with a MOS transistor output stage, the quality factor of the resonance circuit Q being 3 and the resonance frequency detuning rate being 10%;

Figure 6 signal plots showing as a function of the inverse value of the quality factor of the resonance circuit which value of the excitation duty cycle and the detuning frequency results in a substantial elimination of respectively signal losses in the excitation circuit, THD losses and overall signal losses in the power amplifier as a whole.

Figure 7a-d various excitation signal forms usable in a communication device according to the invention;

Figure 8 a-c signal plots illustrating the functioning of the power amplifier according to the invention when being used to for amplifying an envelope amplitude modulated high frequency carrier signal

Figure 1 shows a blockdiagram of a communication device according to the invention, which is compliant to telecommunication standards using modulated envelope high frequency carrier signals (such as e.g. CDMA), including a power amplifier (1-5), preceded by a transmitter pre-stage unit 6 and followed by antenna means A. The transmitter pre-stage unit 6 is provided with an output supplying a high frequency carrier signal at a terminal Fc, a baseband modulation signal for modulating said high frequency carrier signal in phase and/or frequency at a terminal FM/PM, and a baseband modulation signal for modulating the envelope amplitude of said high frequency carrier signal at a terminal AM. With regard to the transmitter pre-stage unit 6 reference is made to existing prior art CDMA portable communication devices. Detailed knowledge of the transmitter pre-stage unit 6 is not needed to properly understand the invention, reason for which the unit 6 will not be further described.

The power amplifier (1-5) comprises a resonance circuit 1 arranged between resonance circuit input means 2 and the antenna means A, the resonance circuit input means 2 comprising an excitation circuit 3 coupled between a pulse generator 4 and the resonance circuit 1 for supplying an excitation signal thereto. The baseband modulation signals at the terminals PM/FM and AM, hereinafter referred to as PM/FM and AM baseband modulation signals respectively, and the high frequency carrier signal at the terminal Fc of the transmitter pre-stage unit 6 are supplied to the pulse generator 4, which derives therefrom control signal pulses for the excitation circuit 3. These pulses do not refer to a specific signal form, as will be explained hereinafter in more detail with reference to Figure 8 and may be rectangular, sinusoidal, Gaussian or otherwise.

The control signal pulses are generated at each period of the high frequency carrier signal phase and/or frequency modulated with the PM/FM baseband modulation signal with a duty cycle less than 50%. In addition thereto the pulse generator 4 also modulates the envelope amplitude of these control pulses with the AM baseband modulation signal. The circuitry needed to come to an excitation signal as defined hereabove, i.e. tracking the modulated high frequency carrier signal in its phase and/or frequency and/or amplitude and having a duty cycle less than 50%, can as such be designed and implemented by anyone skilled in the art to arrive at. In this connection, reference is made to Philips' pulse generator type PM 5786 B. The functionality of the pulse generator 4 may well be combined with the functionality of excitation circuit 3 in one single device.

The excitation circuit 3 comprises a charge pump with an output stage having a bipolar transistor, the collector emitter path thereof being serially coupled to the resonance circuit 1 between first and second terminals of a supply voltage source Vcc.

The resonance circuit 1 comprises a parallel RLC circuit directly coupled to the antenna means A. The resistor R represents mainly the radiation resistance of the antenna means A. The resonance frequency of the circuit 1 is chosen to correspond substantially to the carrier frequency ( $f_{car}$ ) of the modulated high frequency carrier signal. The quality factor Q of the resonance circuit is preferably chosen to be greater than 1.

Furthermore, if the antenna means A are dimensioned to have a narrow bandwidth, then such narrow bandwidth antenna means can provide the functionality of the parallel RLC circuit to a great part. This removes the need to use a completely equipped RLC circuit in the resonance circuit 1, allowing to integrate the antenna means A as part of the power amplifier (1-5).

The pulse generator 4 controls the excitation circuit 3 to generate an excitation signal following the control pulses in their phase and/or frequency and their envelope amplitude modulation, and having continuous transients between a minimum and a maximum current level, the excitation current signal exceeding 50% of the maximum current level during a periodic time interval smaller than 50% of the repetition time of the input signal. The excitation signal excites the resonance circuit 1, therewith bringing this circuit in a resonance mode in accordance with the invention.

In addition thereto the supply voltage  $V_{cc}$  is amplitude modulated with the AM baseband modulation signal through an amplitude modulator 5, which is coupled to the terminal AM of the transmitter pre-stage unit 6. With regard to this amplitude modulator 5, reference is made to Philips' IC LM 78xx or equivalent ICs.

The functioning of the power amplifier with regard to AM modulations will be explained with reference to Figures 8a-c.

Figure 2 shows a blockdiagram of a second embodiment of a power amplifier for use in a communication device according to the invention in which elements corresponding to those of Figure 1 have the same references. The excitation circuit 3 now comprises a switching device using a MOS transistor having its drain source path serially arranged with the resonance circuit 1 between first and second terminals of a supply voltage source, respectively connected to mass and a supply voltage  $V_{cc}$ . For AC signals both first and second terminals may be considered to be massconnected.

In the resonance circuit 1 the capacitor C is parallel connected to the inductor L, but unlike the resonance circuit of Figure 1, the inductor L is now provided with first and second taps, T1 respectively T2. The first tap T1 is coupled to the resistor R and the second supply voltage terminal, the second tap T2 is coupled to the output of the excitation circuit 3, therewith therewith providing proper antenna impedance matching without using an extra impedance transformer.

Figures 3a-c show signal plots illustrating the effect of an excitation signal (curve A) having a 50% duty cycle on THD losses (curve C) when used in the power amplifier of Figure 1, in which the excitation circuit is provided with a MOS transistor output stage, the quality factor of the resonance circuit Q being 1.5 and the resonance frequency detuning rate ( $df_{res}$ ) being 60%.

The switching losses are practically zero. The THD losses being derived from the difference

between the first order harmonic frequency of the resonance circuit uotput signal indicated by curve B and the curve A excitation signal are considerable and may be used as a reference for the THD losses occurring in the cited prior art power amplifier.

Figures 4a-c show signal plots illustrating the effect of an excitation signal having a 50% duty cycle (curve A) on THD losses (curve C) and switching or excitation losses when used in the power amplifier of Figure 1, in which the excitation circuit is provided with a MOS transistor output stage, the quality factor of the resonance circuit Q being 1.5 and the resonance frequency detuning rate being 27%. Now switching losses are appearing, reflected in a discontinuity at point D of curve A, however, the reduction in THD losses is much greater than these switching losses, as is shown in curve C. The net reduction effect of detuning of the resonance frequency of the resonance circuit on the overall power loss is demonstrated herein to improve the efficiency of the above cited power amplifier, i.e. also with an excitation duty cycle of 0.5.

Figures 5a-c show signal plots illustrating the effect of an excitation signal (curve A) having a 30% duty cycle on THD losses (curve C) and switching or excitation losses when used in the power amplifier of Figure 1, in which the excitation circuit is provided with a MOS transistor output stage, the quality factor of the resonance circuit Q being 3 and the resonance frequency detuning rate being 10%. Here, an optimal trade off between switching and THD losses is obtained, resulting in a minimised overall power loss of the power amplifier.

Figure 6 shows the interrelationship between the three parameters: excitation duty cycle (Tex/Tcar), the resonance frequency detuning rate (dfres) and quality factor (Q) of the resonance circuit in signal plots indicating as a function of the inverse value of the quality factor (1/Q) of the resonance circuit respectively in curve A which value of the resonance frequency detuning rate (dfres), in curve B which value of the excitation duty cycle when approximated by (1/Q), in curve C which value of the excitation duty cycle and in curve D which value of the resonance frequency detuning rate (dfres) when approximated by  $(4/\pi)(1/Q)^2$  results in a substantial elimination of respectively signal losses in the excitation circuit. The curves A and C are based respectively on the following formulas:



$$\begin{aligned}
 \text{dfres}[Q] &= \frac{\sqrt{1 - \left(1 - \frac{1}{Q^2}\right)^2}}{2 \pi \left(1 - \frac{1}{Q^2}\right)} + \frac{3 + \frac{2 \operatorname{ArcSin}\left[\left(1 - \frac{1}{Q^2}\right)\right]}{Q}}{\pi} - 1 \\
 (\text{Tex}/\text{Tcar})[Q] &= \frac{\sqrt{1 - \left(1 - \frac{1}{Q^2}\right)^2}}{2 \pi \left(1 - \frac{1}{Q^2}\right)} + \frac{3 + \frac{2 \operatorname{ArcSin}\left[\left(1 - \frac{1}{Q^2}\right)\right]}{Q}}{\pi} - 1
 \end{aligned}$$

THD losses are minimised for zero value of the excitation duty cycle (Tex/Tcar) and the resonance frequency detuning rate (fres/fcar-1), which correspond to the horizontal X coordinate.

Curve E shows a trade off between switching and THD losses according to the invention, which is based on taking 50% of the value for the resonance frequency detuning rate necessary to minimise switching losses. This value results in an overall reduction of power loss in the power amplifier as a whole and is most likely to lead to the most optimal trade off. However, in practise, it may well be that a value somewhat deviating from the abovementioned value of 50% for the resonance frequency detuning rate (dfres) will give a somewhat better trade off in terms of minimum overall power loss.

A commercially interesting optimization area is defined by values of the duty cycle between approximately 0.1 and 0.5 relative to the carrier signal period. The following table is to demonstrate the effect of the optimal trade off between switching or excitation losses and THD losses according to the invention on the reduction in the overall signal losses in quantitative form.

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order of magnitude of 0.1, and the resonance frequency detuning rate  $df_{res}$  may be chosen close to the carrier frequency ( $f_{car}$ ) of the high frequency carrier signal.

Figures 7a-d show four various excitation signal forms a-d usable in a communication device according to the invention. These signals all have a duty cycle less than 50% and continuous transients between a minimum and a maximum level, the signal exceeding 50% of the maximum current level during a periodic time interval smaller than 50% of its repetition time.

Figure 8 a-c show signal plots illustrating the functioning of the power amplifier according to the invention when being used for amplifying an envelope amplitude modulated high frequency carrier signal with a digital AM baseband modulation signal as depicted in Figure 8b.

Figure 8a shows the result of AM modulation of an otherwise unmodulated (i.e. without PM and/or FM modulations) high frequency carrier signal obtained with the communication device of Figure 1. In order to allow the resonance signal amplitude to vary with the AM modulation signal both excitation signal and supply voltage have to be amplitude modulated. The minimum voltage level occurring at the resonance circuit remains constant as shown in Figure 8a, whereas the transmitted antenna signal is varying symmetrically around zero level as is shown in Figure 8c.

When using the power amplifier of Figure 2, the excitation doesn't need to be varied and variation of the supply voltage with the AM baseband modulation signal suffices. This results again in a signals as shown in Figures 8a and 8c.

The possibility to arrive at a high frequency carrier transmitter signal being PM and/or FM modulated as well as AM modulated allows to use the communication devices according to the invention in telecommunication standard, such as e.g. CDMA. However, by keeping the envelope of the a high frequency carrier transmitter signal constant these communication devices may also be used in telecommunication standard such as e.g. GSM.

In a prototype power amplifier as shown in Figure 1 using a charge pump in the excitation circuit 3, the following relationships between the quality of the resonance circuit 1 and the duty cycle of the excitation signal used, were measured:

|                     | Q = 2 | 4  | 6  | 8  | 10 |
|---------------------|-------|----|----|----|----|
| duty cycle =<br>50% | 68    | 67 | 66 | 65 | 64 |
| 45 %                | 74    | 74 | 73 | 72 | 71 |
| 32 %                | 85    | 88 | 88 | 88 | 86 |
| 25 %                | 83    | 91 | 92 | 92 | 90 |
| 14 %                | 70    | 85 | 91 | 93 | 93 |
| 4 %                 | 63    | 76 | 83 | 87 | 88 |

5 Significant improvements in efficiency are obtained within the area defined by a quality factor greater than an order of magnitude of 2 and a duty cycle of the excitation signal less than an order of magnitude of 40%.

In the above the invention is explained with a voltage and current like excitation of the resonance circuit, bounding the area of characteristics of circuitry, which can be used to materialize the invention. It is clear that the invention may well be applied with any implementation of the excitation circuit 3 having a mixed current/voltage output characteristic.

Furthermore the signal forms usable for an excitation in accordance with the invention are not limited to the ones shown in Figures 7a-d and include any form within the definition given in the claims.

The invention removes the necessity to use a bandpassfilter, however, such use is not precluded.

## Claims:

- 5 1 A communication device including a power amplifier for amplifying a modulated high frequency carrier input signal comprising a resonance circuit and an excitation circuit for a signal excitation in the resonance circuit phase and/or frequency coupled with the modulated high frequency carrier signal, characterized by said excitation occurring within excitation periods ( $T_{ex}$ ) in a periodic alternation with resonation periods ( $T_{fre}$ ), during  
10 which the resonance circuit is in a free running resonance mode, the excitation periods being smaller than the resonation periods to define an excitation duty cycle ( $T_{ex}/T_{car}$ ) relative to the period of the carrier signal ( $T_{car}$ ) of less than 0.5.
- 2 A communication device according to claims 1, characterized by the resonance circuit  
15 having a resonance frequency ( $f_{res}$ ) higher than the carrier frequency ( $f_{car}$ ) of the modulated high frequency carrier signal over a resonance frequency detuning rate ( $df_{res}$ ), defined by the frequency deviation of said resonance frequency from said carrier frequency relative to the carrier frequency ( $f_{res}/f_{car}-1$ ), substantially at most corresponding to half the excitation duty cycle.
- 3 A communication device according to claim 1 or 2, characterized by said resonance  
20 frequency detuning rate ( $df_{res}$ ) being in the order of magnitude of the half square value of said excitation duty cycle ( $T_{ex}/T_{car}$ ) for an excitation duty cycle above an order of magnitude of 0.1.
- 4 A communication device according to one of claims 1 to 3, characterized by the excitation  
25 duty cycle ( $T_{ex}/T_{car}$ ) being defined to decrease with increasing quality factor ( $Q$ ) of the resonance circuit and vice versa for an excitation duty cycle ( $T_{ex}/T_{car}$ ) above an order of magnitude of 0.1.
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9. A communication device according to one of claims 1 to 8, characterized in that the excitation circuit comprises a controllable switching device serially arranged with the resonance circuit between first and second terminals of a voltage supply source and having a control terminal coupled to the input of the power amplifier for periodically supplying an excitation voltage signal to the resonance circuit, phase and/or frequency coupled with the modulated carrier signal circuit.
10. A communication device according to claim 9, characterized in that the controllable switching device comprises a switch resistance serially arranged with the resonance circuit between the first and second terminals of said voltage supply source and being varied from a maximum resistance value to a minimum resistance value and vice versa to smoothen transients of said excitation voltage signal increasing above a threshold voltage within the excitation periods.
11. A communication device according to claim 10, characterized in that the controllable switching device comprises a MOS transistor having its drain source path serially coupled to the resonance circuit being controlled to vary the switch resistance stepwise.
12. A communication device according to one of claims 9 to 11, characterized by amplitude modulation means for modulating the amplitude of the supply voltage between the first and second terminals of the voltage supply source with modulation signal dependent envelope amplitude variations of the modulated high frequency carrier signal.
13. A communication device according to one of claims 1 to 8, characterized in that the excitation circuit comprises a charge pump supplying an excitation current signal, phase and/or frequency coupled with the modulated carrier signal circuit having smooth transients between a minimum and a maximum current level and increasing above a threshold current level within the excitation periods.

14. A communication device according to claim 13, characterized in that an output stage of the charge pump comprises a bipolar transistor, the collector emitter path thereof being serially coupled to the resonance circuit between first and second terminals of a supply voltage source.
15. A communication device according to claim 13 or 14, characterized by amplitude modulation means for modulating the excitation signal as well as a supply voltage coupled to the resonance circuit with modulation signal dependent envelope amplitude variations of the modulated high frequency carrier signal.
16. A communication device according to one of claims 1 to 15, characterized in that the resonance circuit input means comprise a pulse generator controlling the excitation circuit to modulate the excitation signal in its phase and/or frequency and/or envelope amplitude in correspondence with the modulated high frequency carrier signal.
17. A communication device according to one of claims 1 to 16, characterized by the resonance circuit having a resonance filter quality factor greater than 1.
18. A communication device according to one of claims 1 to 17, characterized by a balanced implementation of the excitation circuit and the resonance circuit.
19. A communication device according to one of claims 1 to 18, characterized in that the resonance circuit comprises a parallel RLC network, an inductor and resistor thereof being part of the antenna means.
20. A communication device according to one of claims 1 to 19, characterized in that the resonance circuit comprises a parallel RLC circuit comprising an inductor provided with a tapped coupling to the antenna impedance.



21. A communication device according to claim 20, characterized in that the inductor is provided with a further tap, coupled to the excitation circuit.
22. A communication device according to one of claims 1 to 21, characterized by said antenna means having narrow bandwidth.
23. High frequency power amplifier for use in a communication device according to one of claims 1 to 19, characterized by a resonance circuit part provided with antenna coupling means for completing the resonance circuit part to form said resonance circuit by coupling antenna means thereto.

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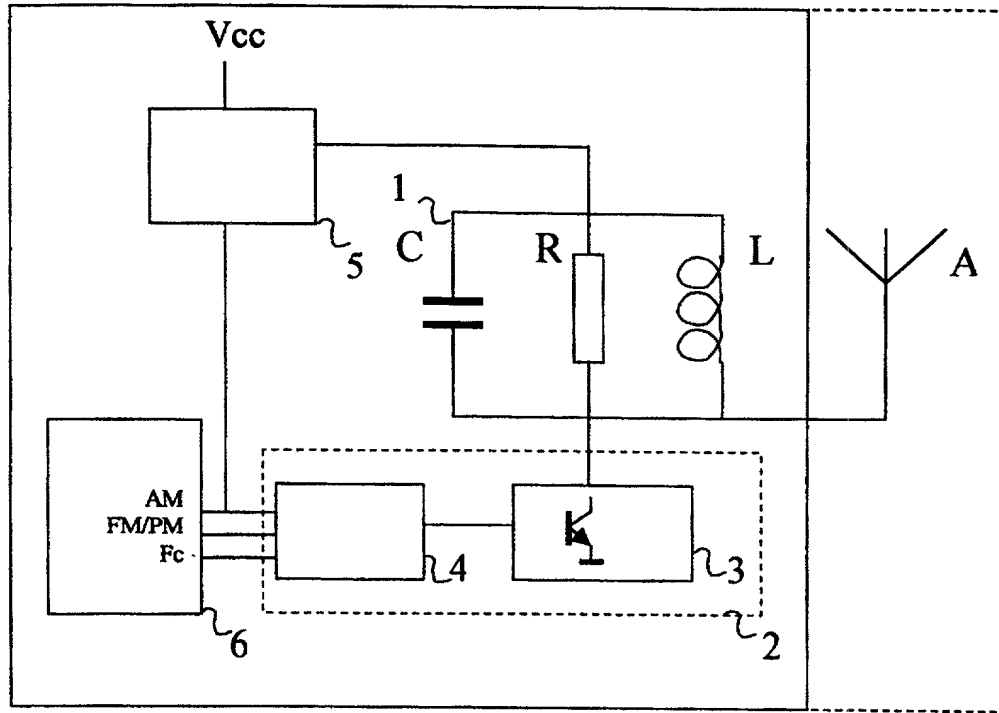


Fig. 1

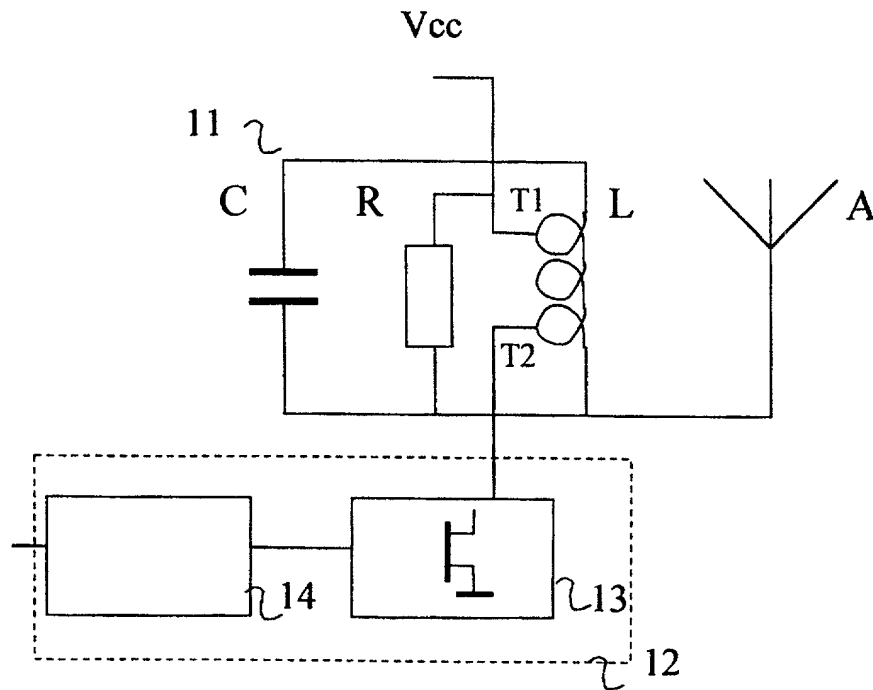


Fig. 2

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PA CLAM2 CIR Temperature = 27

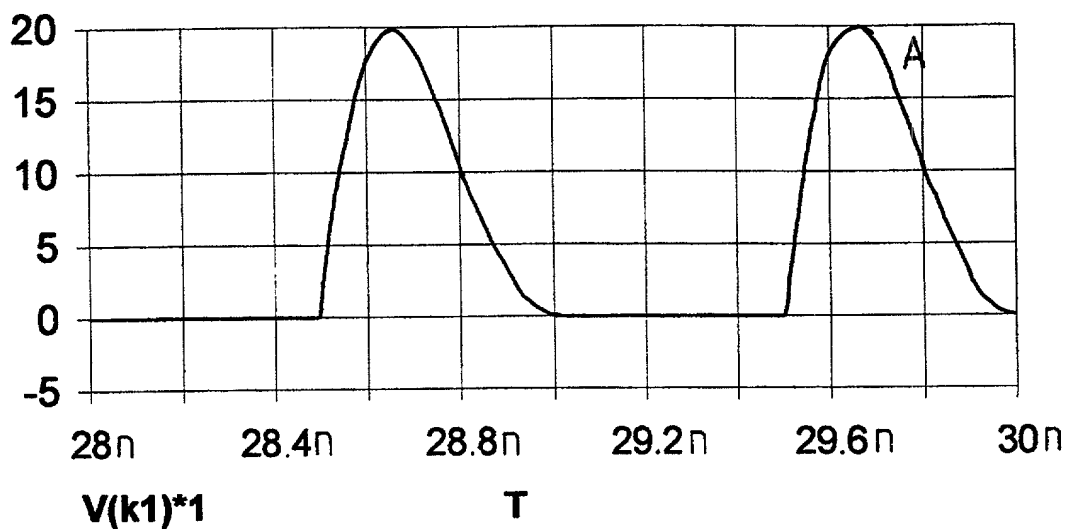


Fig. 3a

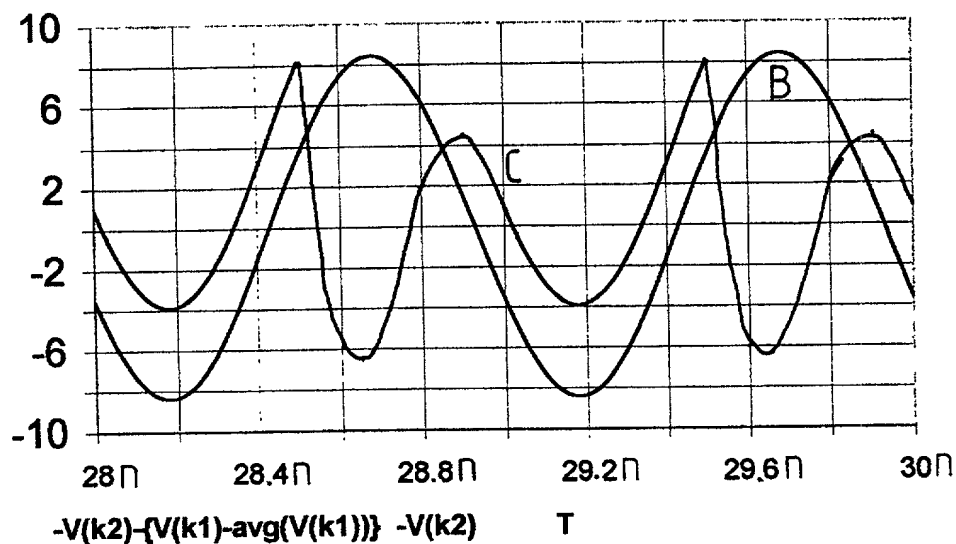


Fig. 3b

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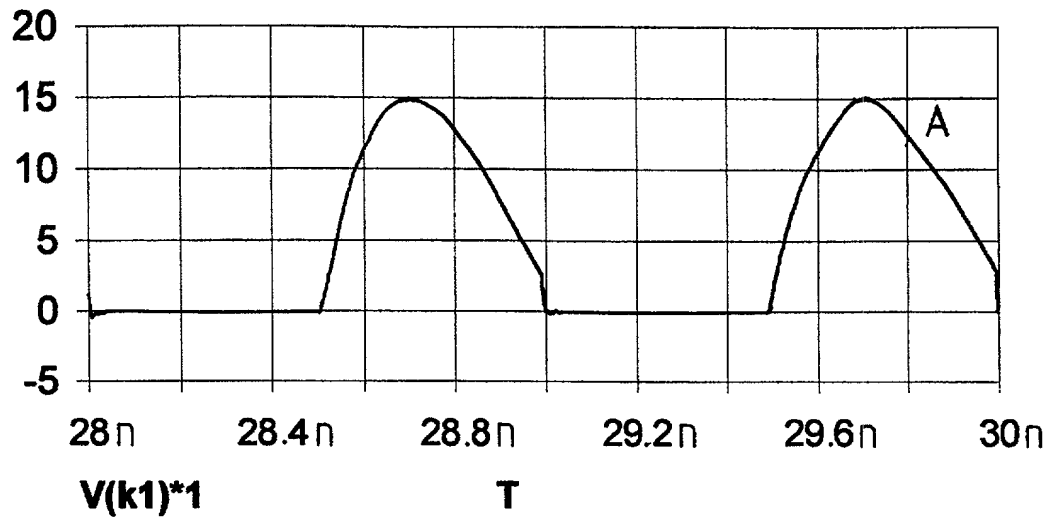
**PA CLAM2 CIR Temperature = 27**

Fig. 4a

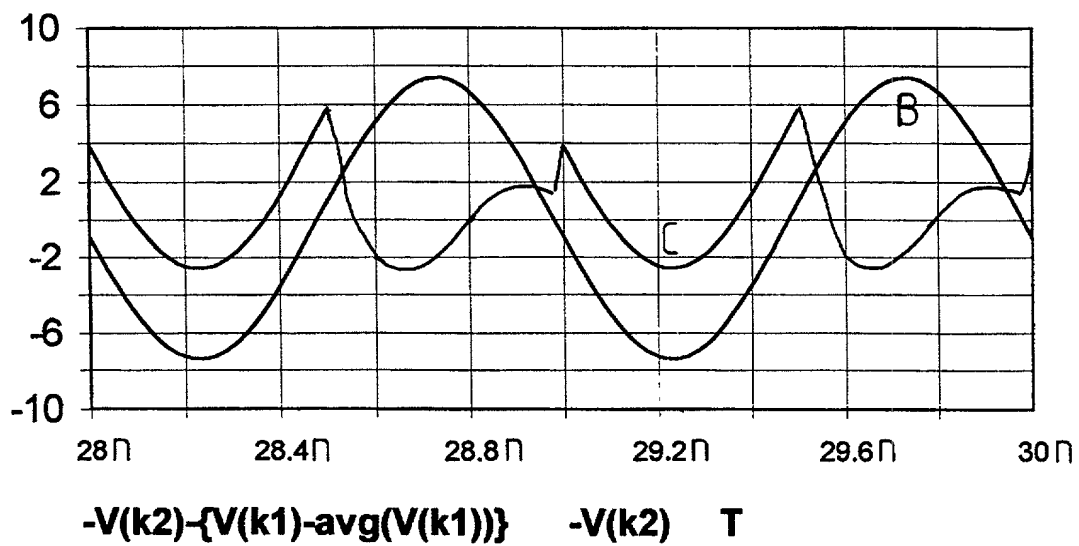


Fig 4b

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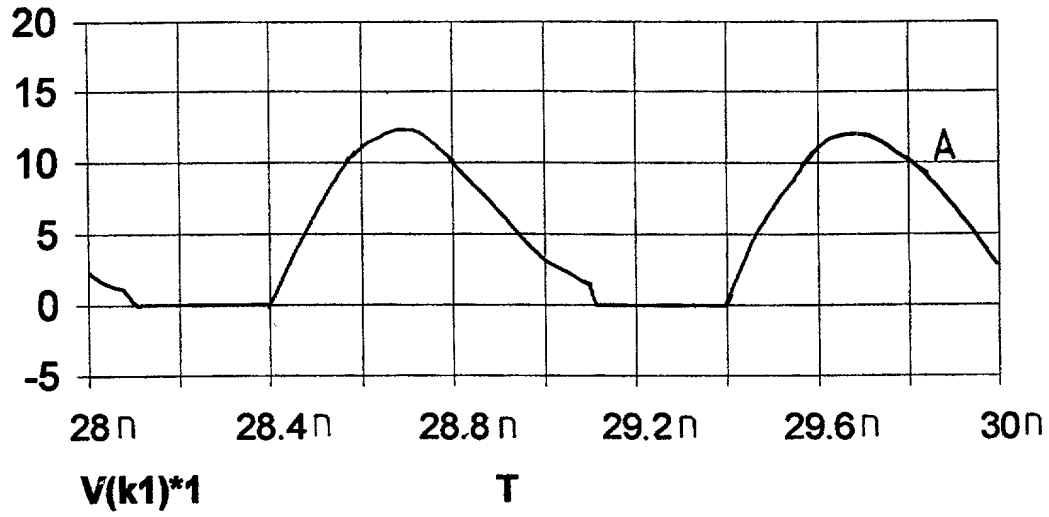
**PA CLAM2 CIR Temperature = 27**

Fig. 5a

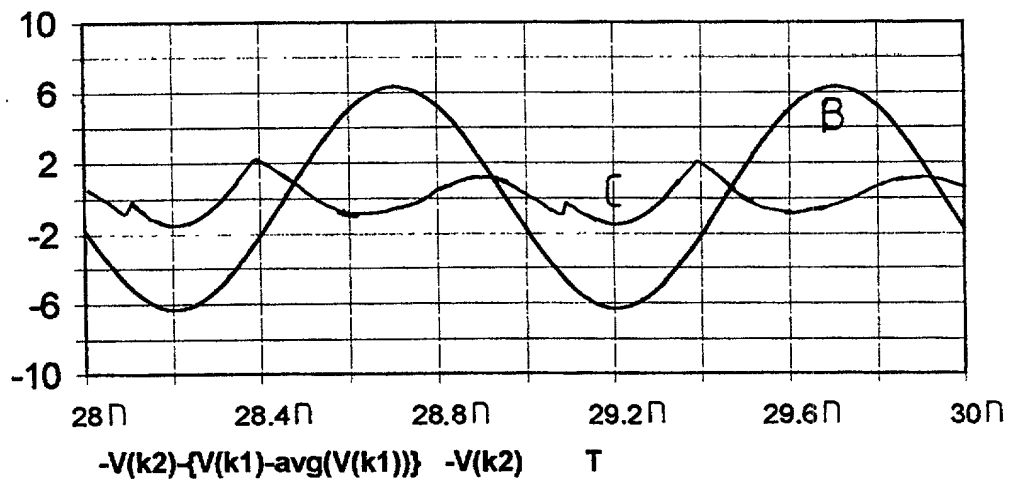


Fig 5b

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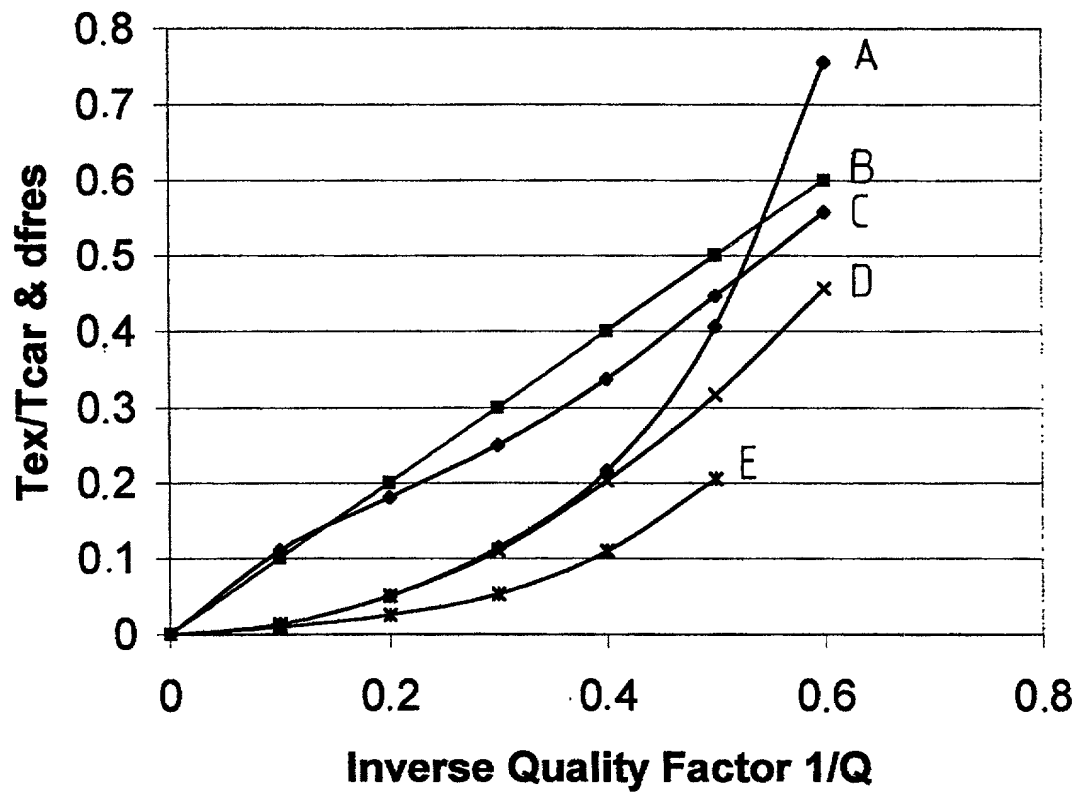
**Tex/Tcar & dfres as function of 1/Q**

Fig. 6

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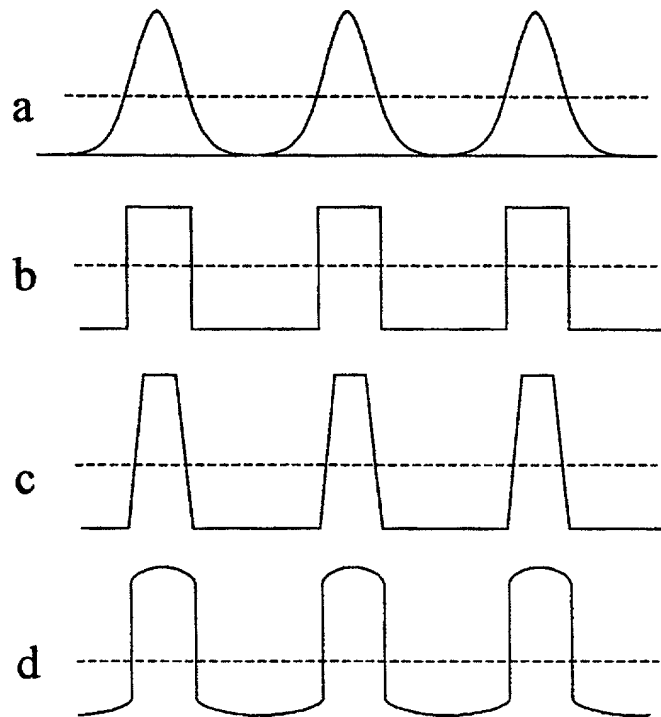
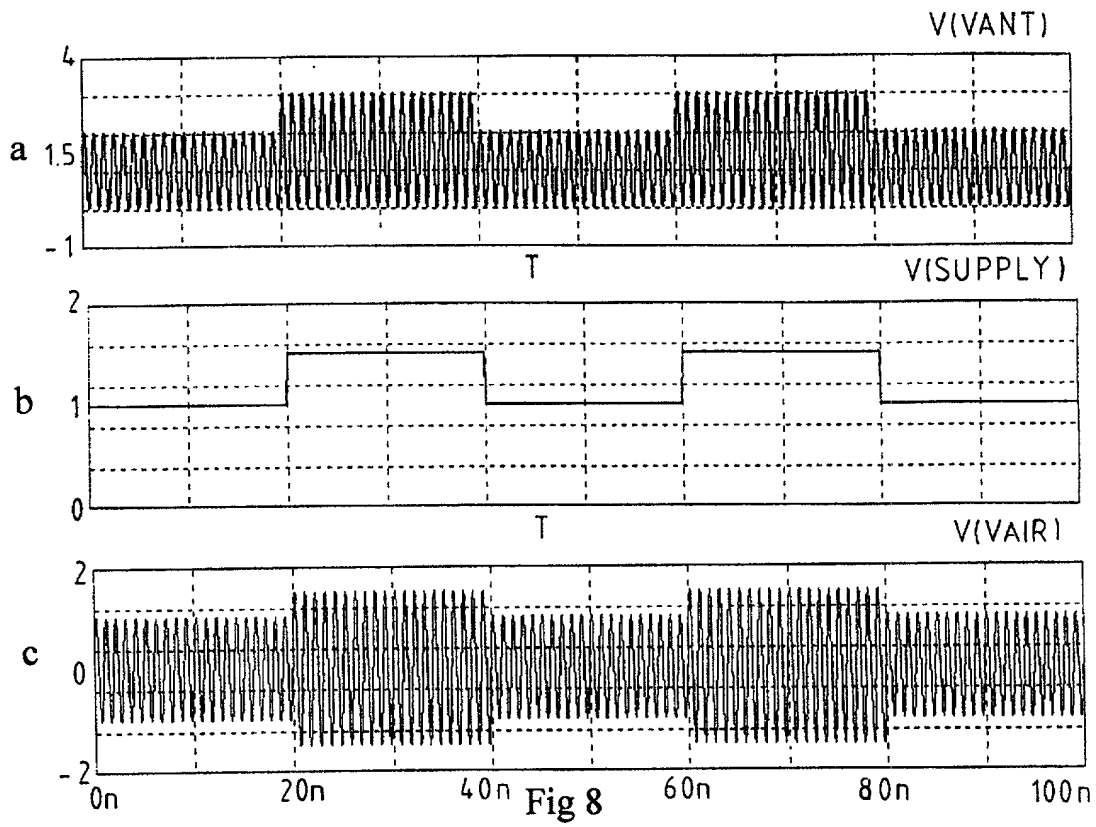


Fig 7

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PTC 55/D1 (12-87)

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| <b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b><br>(37 CFR 1.63)                               |  | Attorney Docket Number<br>_____     |
| <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing                              |  | First Named Inventor<br>_____       |
| OR   |  | <b>COMPLETE IF KNOWN</b>            |
| <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) |  | Application Number<br>_____ / _____ |
|  |  | Filing Date<br>_____                |
|  |  | Group Art Unit<br>_____             |
|  |  | Examiner Name<br>_____              |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on this invention entitled:

**Communication device**

the specification of which (Title of the invention)

☒ is attached hereto OR

☒ was filed on (MM/DD/YYYY): **11 April 2000** as United States Application Number or PCT International Application Number **PCT EP 00/03203** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 351(b) of any foreign application(s) for patent or inventor's certificate, or 351(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed   | Certified Copy Attached?   |
|-------------------------------------|---------|----------------------------------|--|--|
| 99201195.7                          | EP      | 19 April 1999                    | <input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> | YES<br><input checked="" type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) |
|-----------------------|--------------------------|
|                       |                          |

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Page 1 of 24

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**DECLARATION — Utility or Design Patent Application**

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 35 U.S.C. 122 of any PCT international application designating the United States of America, made before and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the last paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|--|---------------------------------|--------------------------------------|
| PCT/EP 00/03203                              | 11 april 2000                   |                                      |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB42 attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

| Name                | Registration Number | Name | Registration Number |
|---------------------|---------------------|------|---------------------|
| McDermott, Robert M |                     |      |                     |

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB43C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label ☐ Correspondence address below

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and that the same are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unnamed inventor

|                                      |                        |
|--------------------------------------|------------------------|
| Given Name (first and middle if any) | Family Name or Surname |
| Herman Wouter                        | van Rumpt              |

|                      |         |
|----------------------|---------|
| Inventor's Signature | State   |
| Residence: City      | Country |
| Post Office Address  | ZIP     |
| City                 | Country |

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB43A attached hereto.

(Page 2 of 2)

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